

# Trade Compliance Suite for Risk Evaluation

Proactive anomaly detection  
and risk verification for secure,  
compliant trade



## The problem

In the high-stakes arena of banking and finance, precision in Risk Evaluation is vital for safeguarding trade investments. Faced with three predominant risk factors, professionals are challenged with...



### Financial risk

Evasive shipping practices like AIS manipulation & spoofing have almost doubled since 2021. As it looks like normal trading we can miss risks completely, or they turn out to be false positives. Inadvertently financing companies that hide illicit activity exposes us to fines that run into the \$Ms.



### Sanctions risk

The regulators are becoming more and more explicit about the types of checks we need to complete. To do this adequately means lots of manual checks and people with the skills and knowledge to know when there is a risk.



### Operational risk

Data is fragmented, BoL, ownership data and voyage data. It's difficult to join it together and it's manual, particularly with increased complexity and bad actors so we might be exposed to compliance risk due to the limited volume of checks we can do.



In 2023 Swedbank Latvia settled with OFAC for \$3,4m for violating U.S. sanctions on Crimea //

Lloyd's List



AIS Gap false positives cost money in staff time so we must be careful what investigations we do //

Global top trade finance bank



...we use a lot of different sources to build up a picture (profile) but it can be confusing and takes lot of time due to discrepancies between different sources //

Leading West Europe bank

## Lloyd's List Intelligence

### The solution

Today, Lloyd's List Intelligence works with **80% of the world's largest banks**, helping them save time evaluating risk and staying compliant

Lloyd's List Intelligence's Seasearcher Trade Compliance Suite stands out as the quintessential maritime intelligence tool, leveraging over three centuries of expertise to provide crystal-clear risk assessments and investment insights, while ensuring compliance with the ever-changing sanctions landscape. Our advanced tools transform maritime complexity into actionable clarity, distinguishing us as the go-to source for evaluating global trade risk with confidence.

# Investigate Vessels & Events

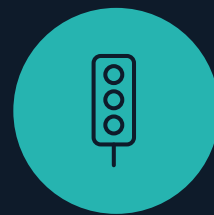
Spot illicit activity and avoid unnecessary investigations with analytics and intelligence that gets you to the compliance insight you need, quickly

Understand which vessels pose a risk in a granular view and what risk ratings they represent



## Thorough sanctions screening

Smart matching capability identifies up to 10,000 vessels associated with ownership risk; over 1,000 vessels are listed on live sanctions in our platform



## Traffic-light risk rating system

Access connected and validated risk information for a vessel, based on ownership, behaviours and movements



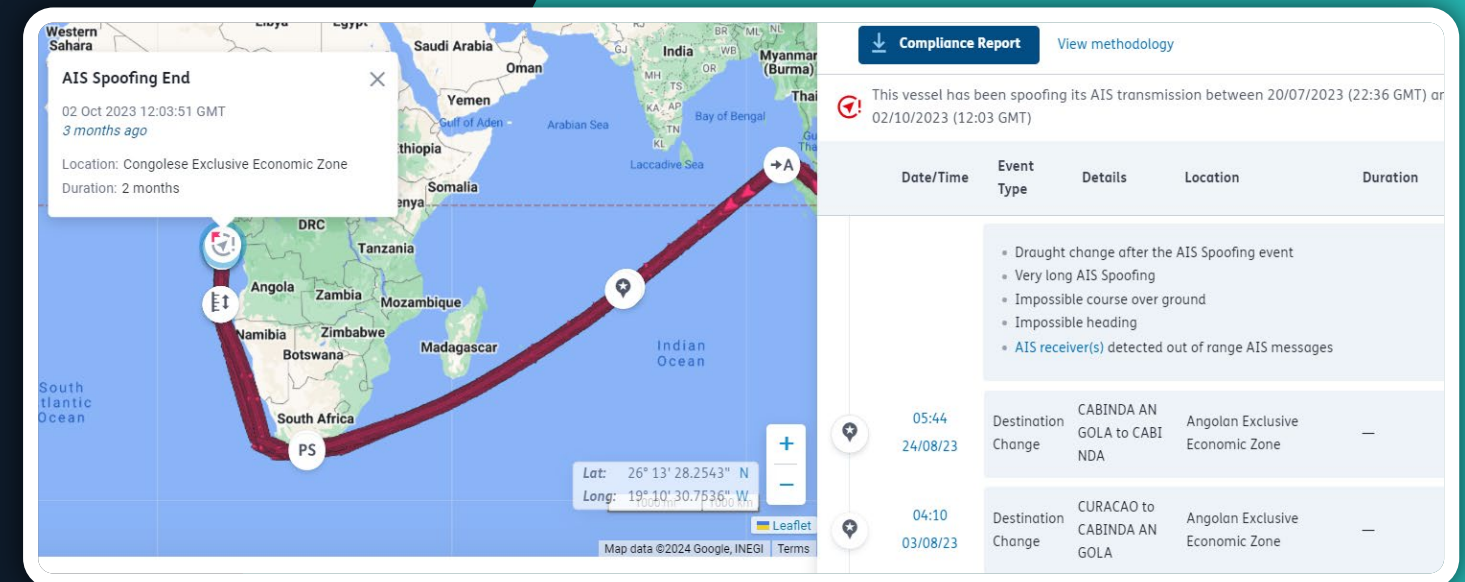
## Suspicious event analysis

Complete and granular view of maritime activities backed by extensive terrestrial AIS coverage (capturing 80% of all messages and unique positions) and unmatched uptime (>95% all time)



## Transparent reporting

Access detailed reports for a clear understanding of associated risks



Seasearcher has improved the turnaround time and how long we hold transactions pending an investigation. Because information about risk is articulated so clearly for analysts, they can resolve each transaction faster.

Global Trade Finance Bank



## Voyage risks



### Movement risks

- High risk port calling
- Probably dark port calling



### Abnormal movements

- Suspicious loitering or deviation



### Ship to ship transfer

- Probable 1-way dark STS (as dark party) or 2-way dark STS



### AIS Spoofing

- Stay alerted to vessels that transmit false AIS data to conceal their real location



# Risk Assess the Trade

Identify anomalies in your trade assessments with risk verifications on containerized trade and dual-use goods, at ease.

Access a one-stop solution with sanctions screening, container tracking data and insights on possible dual-use goods all in one place



## Under/over-invoicing verification

Screen goods prices against 12-month historical prices of the exact same trade, obtained from up to 130 official governmental sources

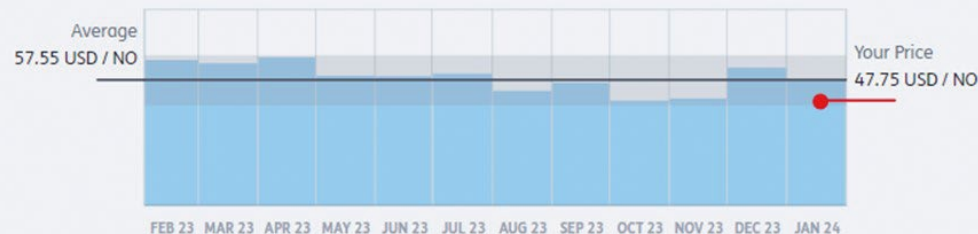
### Pricing

Total Price: 6,351.00 USD / Total Quantity: 133.00 NO → Your Price: 47.75 USD / NO

↓ Price is 17.02% below the average.

Calculated based on the 12 months from Feb 23 to Jan 24

Tolerable threshold of 20% from 46.04 to 69.06 USD / NO



### Trade Route



Our records show previous trade of this type of goods between the country of loading and country of discharge

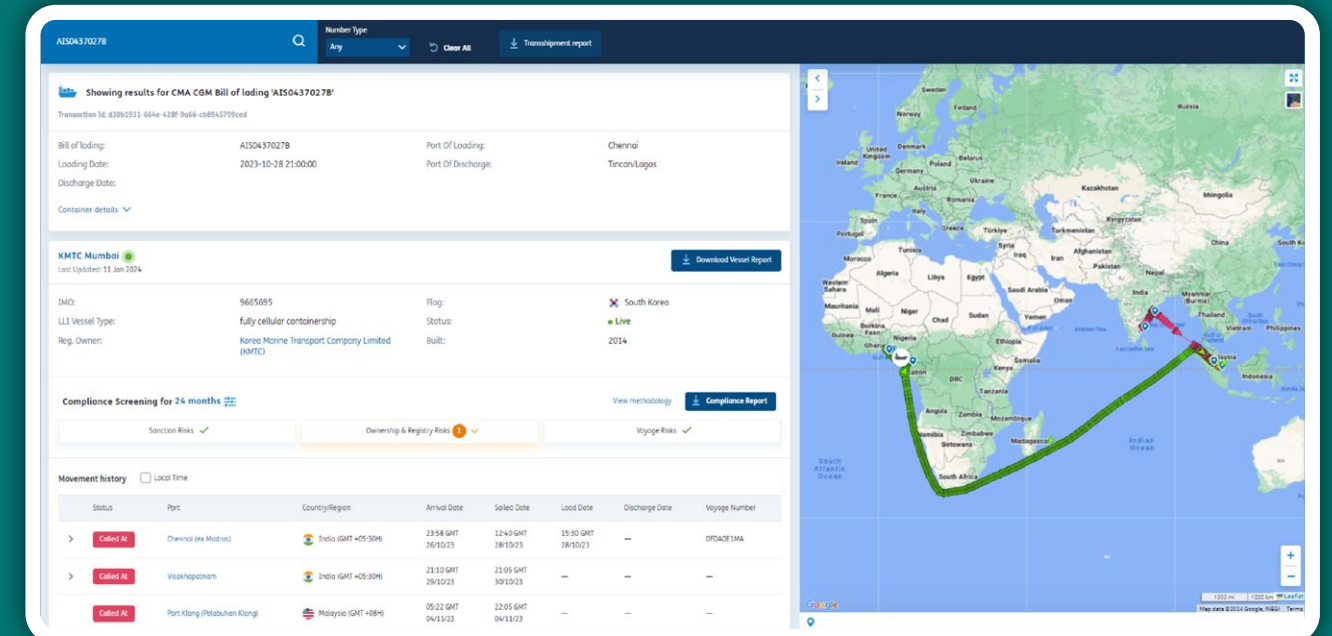


The vessel is appropriate for the goods selected



## Know-Your-Container

Verify B/Ls, counterparties, and goods with 94% automated coverage of global container trade, plus a dedicated analytical help desk for comprehensive risk assessment, avoiding "phantom shipments" and ensuring trade compliance.



## Dual-use goods risk mitigation

Screen for the presence of dual-use goods against 21 global export control lists

Match Phrase	Also Known As	Item Code	Matched Goods Code	Restricted Region	Restricted Region Type	Region Restriction Sources	Additional Notes	Item Description
evolution testing facility	simulator; extruded propellant size	ML18.b.	903010 903040 903090 903010	Belarus Democratic Republic of the Congo North Korea Myanmar	Russia Syria Ukraine Iran	Generally Restricted, additional Specific Prohibitions and Restrictions may apply Council Regulation (EC) No 1183/2005 and annexes, as amended (Democratic Republic of the Congo)* Council Regulation (EC) No 359/2011 and annexes, as amended (Iran)	Also matches 1A202, 9A015, 9A115	Specialty designed environmental test facilities and specially designed equipment therefor for the certification, qualification or testing of products specified by the Munitions List.
soft kill system	active evasion system; line-of-sight prevention; active protection system; deagussing system; Rhino	ML9.a.2.d.	930190 950434	Belarus Democratic Republic of the Congo North Korea Myanmar	Russia Syria Ukraine Iran	Generally Restricted, additional Specific Prohibitions and Restrictions may apply Council Regulation (EC) No 1183/2005 and annexes, as amended (Democratic Republic of the Congo)*	Also matches 9A001	Active evasion countermeasures systems specified in ML4.b., ML5.c. or ML11.a. and having any of the following:
primer shell	—	ML3	930599 930591 9305910000 9305910000	Belarus Democratic Republic of the Congo North Korea Myanmar	Russia Syria Ukraine Iran	Generally Restricted, additional Specific Prohibitions and Restrictions may apply Council Regulation (EC) No 1183/2005 and annexes, as amended (Democratic Republic of the Congo)*	—	Ammunition and fuse setting devices, as follows, and specially designed components therefor: a. Ammunition for evasion specified by ML1, ML2, or ML12; b. Fuse setting devices specially designed for ammunition specified by ML3.a.
soft kill system	x band active scan array; line-of-sight prevention; active protection system; deagussing system; Rhino	ML9.a.2.d.	930190 950434	Belarus Democratic Republic of the Congo North Korea Myanmar	Russia Syria Ukraine Iran	Generally Restricted, additional Specific Prohibitions and Restrictions may apply Council Regulation (EC) No 1183/2005 and annexes, as amended (Democratic Republic of the Congo)* Council Regulation (EC) No 359/2011 and annexes, as amended (Iran)	Also matches 9B007A, 9C012.a	Smooth-bore evasion with a calibre of 20 mm or more, other weapons or armament with a calibre greater than 12.7 mm (calibre 0.50 inches), projectiles and accessories, as follows, and specially designed components therefor: a. Guns, howitzers, cannons, mortars, anti-tank weapons, projectile launchers, military flame throwers, rifles, recoilless rifles...
multigassed immemoriality	—	ML21.b.3.	730810 852329 852329	Belarus Democratic Republic of the Congo	Russia Syria	Generally Restricted, additional Specific Prohibitions and Restrictions may apply Council Regulation (EC) No 1183/2005 and annexes, as amended (Democratic Republic of the Congo)*	Also matches 9B007A, 9C012.a	The term military evasion refers to the development and use of military aircraft, while the term evasion refers to all nonmilitary evasion, such as air transportation provided by

# Monitoring and staying alert

Automated alerts mean you can monitor your vessels to ensure adherence to both internal and regulatory compliance procedures



## Continuous monitoring

Create your own alerts to keep a vigilant watch over your portfolio's compliance status



## Real-time alerts

Alerts keep you informed of changes in a vessel or fleet's overall risk rating when new risks are detected

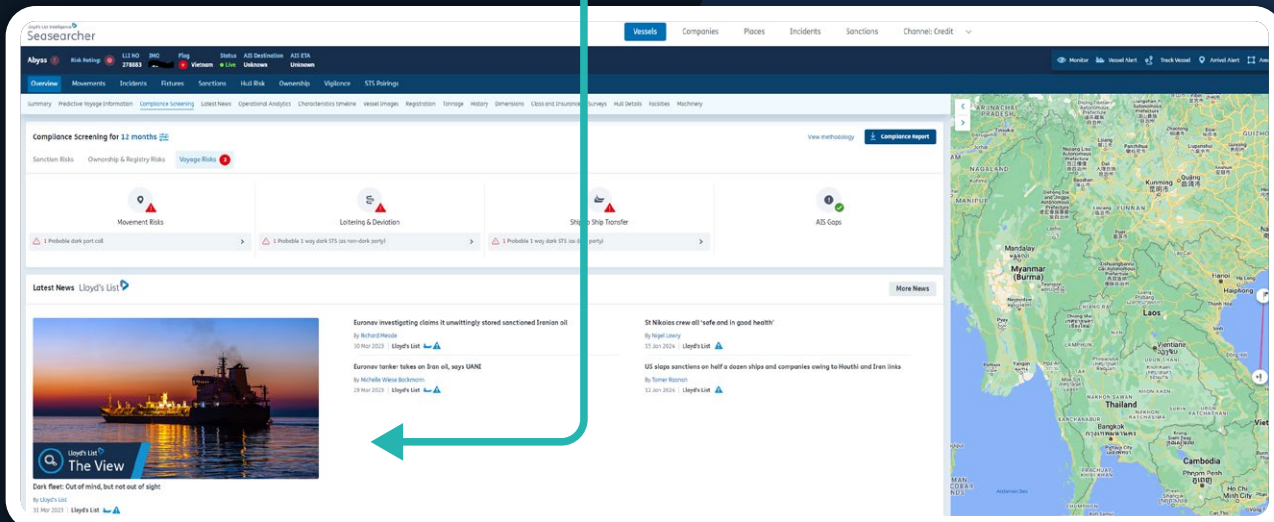
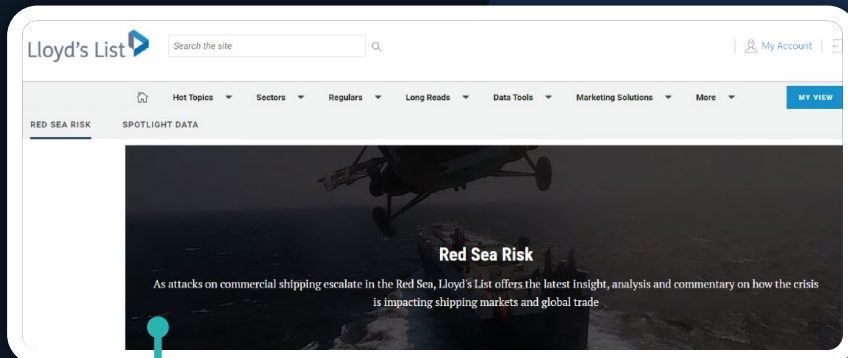


## Risk prediction & prevention

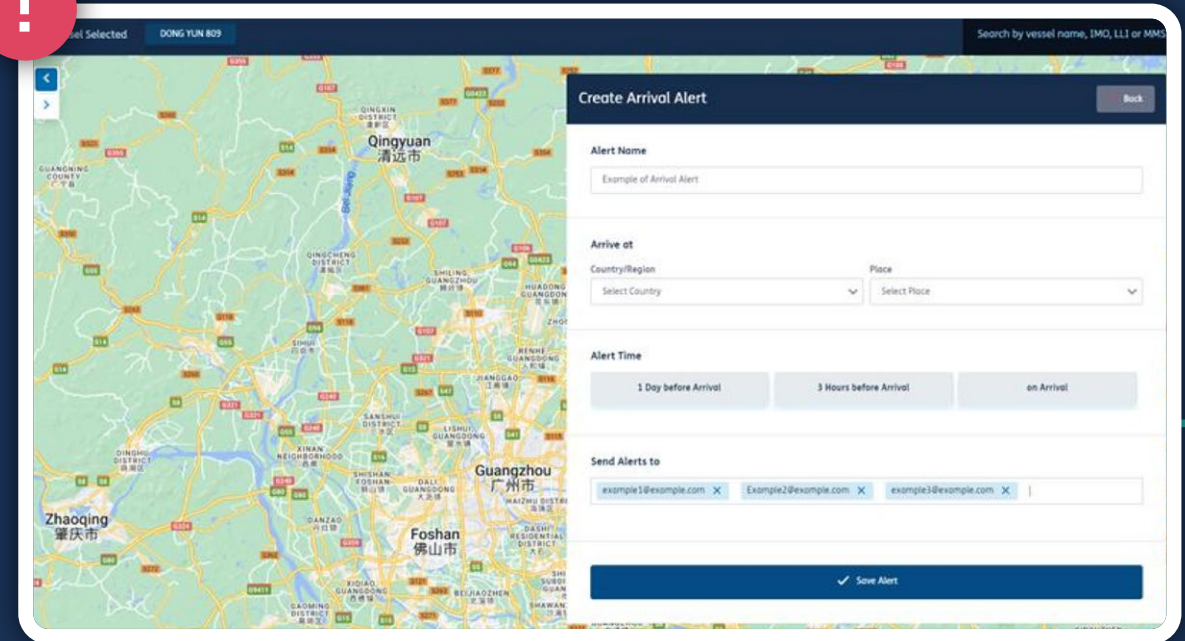
Proactively monitor vessels and fleets for signs of probable illicit activity & mitigate risk with access to the latest news and insight from Lloyd's List

News and insight  
from Lloyd's List

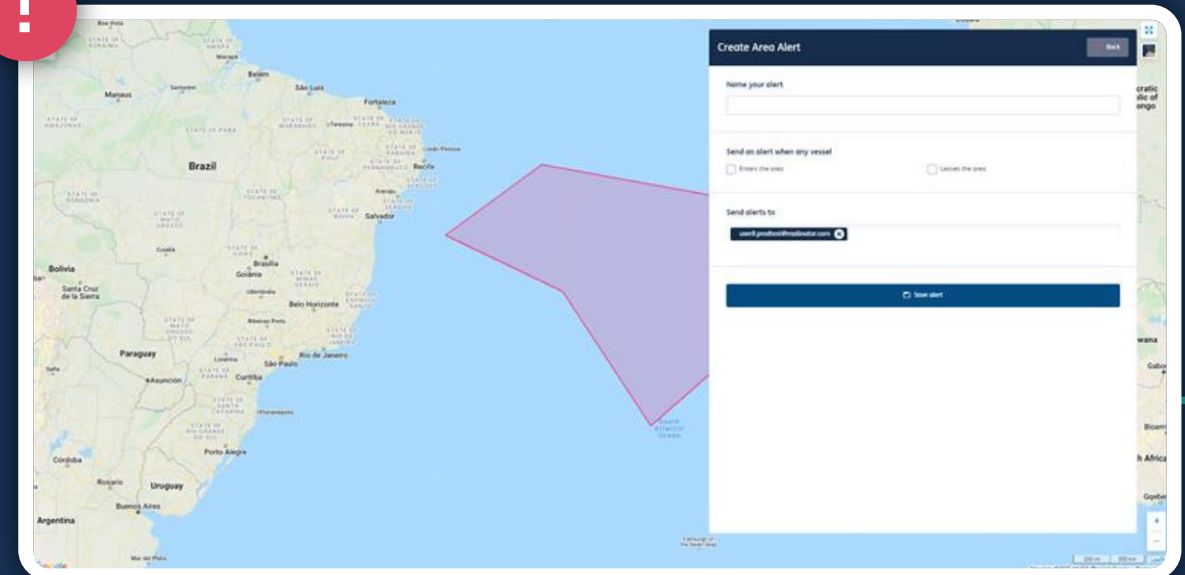
Deeper investigation material for specific owners of interest also providing deep insight into the evolving themes of deceptive shipping practices



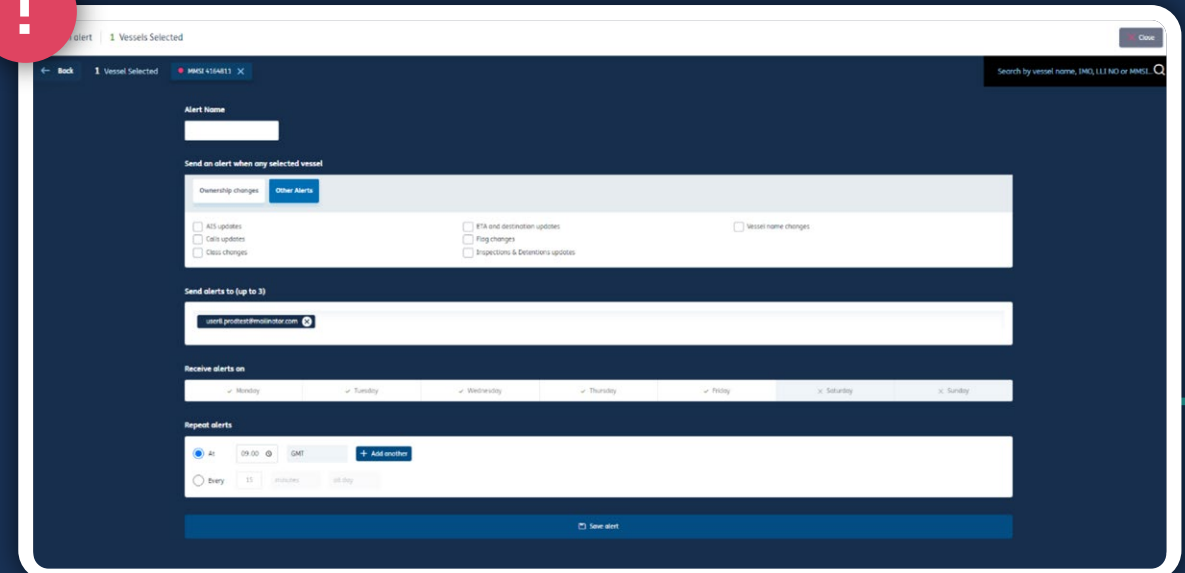
## Arrival alerts



## Area alerts



## Vessel List Alerts





# Built around your needs

Lloyd's List Intelligence's Seasearcher trade compliance suite supports comprehensive 360° evaluation of the vessel to the trade



## Investigate Vessels and Events



### 1st line screening

**Platform**  
Seasearcher Risk & Compliance

**API**  
Vessel calls  
Vessel ETA  
Vessel compliance risk  
Vessel voyage events

**Ideal for**  
Trade Finance Operations

## + Risk Assess the Trade



### Containerised goods screening

**Platform**  
Seasearcher Container Tracker  
Seasearcher Dual Use Goods  
Seasearcher Trade Risk

**API**  
Container tracking  
Dual use goods  
Trade risk

**Ideal for**  
Trade Finance Operations  
Sanctions Compliance

## + Monitor & Stay Alert to Risk



### Continuous monitoring and portfolio risk management

**Platform**  
Seasearcher Advanced Risk  
and Compliance  
  
Lloyd's List

**API**  
Vessel risk score  
Vessel compliance screening  
Vessel compliance report  
Dynamic vessel list API  
Vessel compliance risk report  
audit

**Ideal for**  
Sanctions Compliance  
Financial Crime

\*Lloyd's List not available via API  
yet (coming soon)

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[Lloydslistintelligence.com](https://lloydslistintelligence.com)

Transparent and actionable maritime data and analytics  
powering decisions that drive the safe, efficient, and  
lawful movement of seaborne trade.

