

# Trade Compliance Suite for Risk Evaluation

Proactive anomaly detection and risk  
verification for secure, compliant trade





In the high-stakes arena of banking and finance, precision in Risk Evaluation is vital for safeguarding trade investments. Faced with three predominant risk factors, professionals are challenged with:



**Financial risk**

Evasive shipping practices like AIS manipulation & spoofing have almost doubled since 2021. As it looks like normal trading we can miss risks completely, or they turn out to be false positives. Inadvertently financing companies that hide illicit activity exposes us to fines that run into the \$Ms.

**“ In 2023 Swedbank Latvia settled with OFAC for \$3,4m for violating U.S. sanctions on Crimea ”**

Lloyd’s List



**Sanctions risk**

The regulators are becoming more and more explicit about the types of checks we need to complete. To do this adequately means lots of manual checks and people with the skills and knowledge to know when there is a risk.

**“ AIS Gap false positives cost money in staff time so we must be careful what investigations we do ”**

Global top trade finance bank



**Operational risk**

Data is fragmented, BoL, ownership data and voyage data. It’s difficult to join it together and it’s manual, particularly with increased complexity and bad actors so we might be exposed to compliance risk due to the limited volume of checks we can do.

**“ ...we use a lot of different sources to build up a picture (profile) but it can be confusing and takes lots of time due to discrepancies between different sources ”**

Leading West Europe bank



Today, Lloyd’s List Intelligence works with 80% of the world’s largest banks, helping them save time evaluating risk and staying compliant

Lloyd's List Intelligence’s Seasearcher trade compliance suite stands out as the quintessential maritime intelligence tool, leveraging over three centuries of expertise to provide crystal-clear risk assessments and investment insights, while ensuring compliance with the ever-changing sanctions landscape. Our advanced tools transform maritime complexity into actionable clarity, distinguishing us as the go-to source for evaluating global trade risk with confidence.

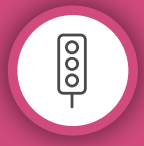
# Investigate Vessels & Events

Spot illicit activity and avoid unnecessary investigations with analytics and intelligence that gets you to the compliance insight you need



### Thorough sanctions screening

Smart matching capability identifies up to 10,000 vessels associated with ownership risk; over 1,000 vessels are listed on live sanctions in our platform



### Traffic-light risk rating system

Access connected and validated risk information for a vessel, based on ownership, behaviours and movements



### Suspicious event analysis

Complete and granular view of maritime activities backed by extensive terrestrial AIS coverage (capturing 80% of all messages and unique positions) and unmatched uptime (>95% all time)



### Transparent reporting

Access detailed reports for a clear understanding of associated risks

Seasearcher has improved the turnaround time and how long we hold transactions pending an investigation. Because information about risk is articulated so clearly for analysts, they can resolve each transaction faster.

Global Trade Finance Bank

Understand which vessels pose a risk in a granular view and what risk ratings they represent

**AIS Spoofing End**  
02 Oct 2023 12:03:51 GMT  
3 months ago  
Location: Congolese Exclusive Economic Zone  
Duration: 2 months

This vessel has been spoofing its AIS transmission between 20/07/2023 (22:36 GMT) or 02/10/2023 (12:03 GMT)

Date/Time	Event Type	Details	Location	Duration
05:44 24/08/23	Destination Change	CABINDA AN GOLA to CABI NDA	Angolan Exclusive Economic Zone	
04:10 03/08/23	Destination Change	CURACAO to CABINDA AN GOLA	Angolan Exclusive Economic Zone	

### Voyage risks

- Movement risks**
  - High risk port calling
  - Probable dark port calling
- Loitering & deviation**
  - Probable 1-way dark STS (as non-dark party)
- Ship to ship transfer**
  - Probable 1-way dark STS (as dark party) or 2-way dark STS
- AIS Gaps & Spoofing**
  - Suspicious AIS Gap

# Risk Assess the Trade

Identify anomalies in your trade assessments with risk verifications on containerized trade and dual-use goods, at ease



**Know-Your-Container**  
Verify B/Ls with 93% automated coverage of global container trade, plus, dedicated analytical help desk for the remaining 10% to avoid “phantom shipments”

Seasearcher

Vessels Companies Places Incidents Sanctions Channel: Dual-Use Goods

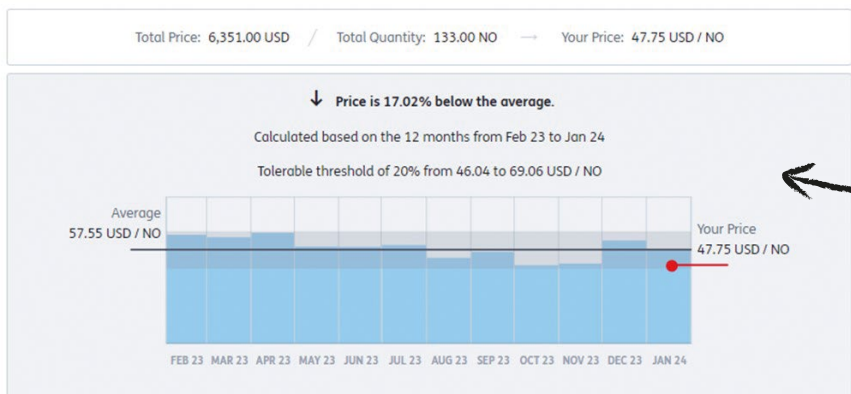
Regulation Restricted Region

7 Items

Match Phrase	Also Known As	Item Code	Matched Goods Code	Restricted Region	Restricted Region Type	Region Restriction Sources	Additional Notes	Item Description
excision testing facility	simulator, entrained propellantizer	ML18.0	903020 903040 903090 903010	Belarus Democratic Republic of the Congo North Korea Myanmar	Russia Syria Ukraine Iran	Generally Restricted, additional Specific Prohibitions and Restrictions may apply Council Regulation (EC) No 1183/2005 and amendments, as amended (Democratic Republic of the Congo) Council Regulation (EC) No 359/2011 and amendments, as amended (Iran)	Also matches 1A350, 9A800, 9A110	Specifically designed environmental test facilities and specially designed equipment therefor, for the purification, qualification or testing of products specified by the Maritime List.
soft kill systems	active excision system, line-of-sight prevention, active protection systems, degrading systems, Blinc	ML9.6.2.A	930190 850414	Belarus Democratic Republic of the Congo North Korea Myanmar	Russia Syria Ukraine Iran	Generally Restricted, additional Specific Prohibitions and Restrictions may apply Council Regulation (EC) No 1183/2005 and amendments, as amended (Democratic Republic of the Congo)	Also matches 6A001	Active excision countermeasure systems specified in ML4.0, ML5.0 or ML11.0 and having any of the following:
		ML3	930599 930591 930590000 930591000	Belarus Democratic Republic of the Congo North Korea Myanmar	Russia Syria Ukraine Iran	Generally Restricted, additional Specific Prohibitions and Restrictions may apply Council Regulation (EC) No 1183/2005 and amendments, as amended (Democratic Republic of the Congo)		Ammunition and fuse setting devices, as follows, and specially designed components therefor: a. Ammunition for excision specified by ML1, ML2 or ML12, b. Fuse setting devices specially designed for ammunition specified by ML3.a.



## Pricing



## Under/over-invoicing verification

Screen goods prices against 12-month historical prices of the exact same trade, obtained from up to 130 official governmental sources

## Trade Route

- Our records show previous trade of this type of goods between the country of loading and country of discharge
- The vessel is appropriate for the goods selected

**One-stop solution**  
Combine sanctions screening, container tracking data and insights on possible dual-use goods all in one place



**Dual-use goods risk mitigation**  
Screen for the presence of dual-use goods against 17 global export control lists

Showing results for CMA CGM Bill of lading 'A1504370278'

Transaction ID: 43983931-8646-430F-8666-0804537966d

Bill of lading: A1504370278 Part Of Loading: Chennai Part Of Discharge: Trincomalee

Loading Date: 2023-10-28 21:00:00

Discharge Date:

Container details

**KMTC Mumbai** Last Updated: 11 Jan 2024

Download Inland Report

DNO: 966595

U11 Vessel Type: Fully cellular containership

Reg. Owner: Korea Marine Transport Company Limited (KMTC)

Build: 2014

Compliance Screening for 24 months

Sanction Risk: ✓ Ownership & Registry Risk: ⚠ Voyage Risk: ✓

Movement History

Status	Part	Country/Region	Arrival Date	Sailed Date	Load Date	Discharge Date	Voyage Number
Colored	Chennai (as Mumbai)	India GMP +05-10K6	2358 GMT 2610Z13	12:40 GMT 2810Z13	15:30 GMT 2810Z13	—	05A21294
Colored	Vishakhapatnam	India GMP +05-10K6	2150 GMT 2910Z13	21:05 GMT 3010Z13	—	—	—
Colored	Port Klang (Reduction Klang)	Malaysia GMP +08K0	0522 GMT 0411Z13	2250 GMT 0411Z13	—	—	—

# Monitoring & Stay alert

Automated alerts mean you can monitor your vessels to ensure adherence to both internal and regulatory compliance procedures



**Continuous monitoring**  
Create your own alerts to keep a vigilant watch over your portfolio's compliance status



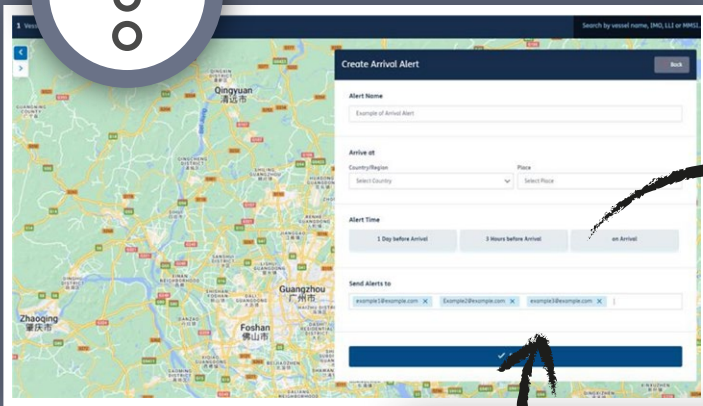
**Real-time alerts**  
Alerts keep you informed of changes in a vessel or fleet's overall risk rating when new risks are detected



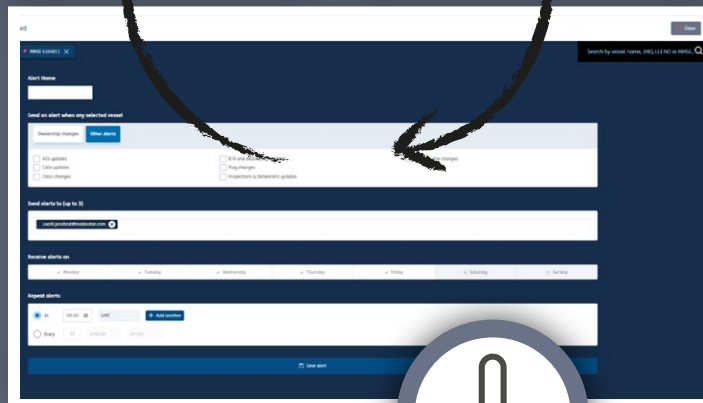
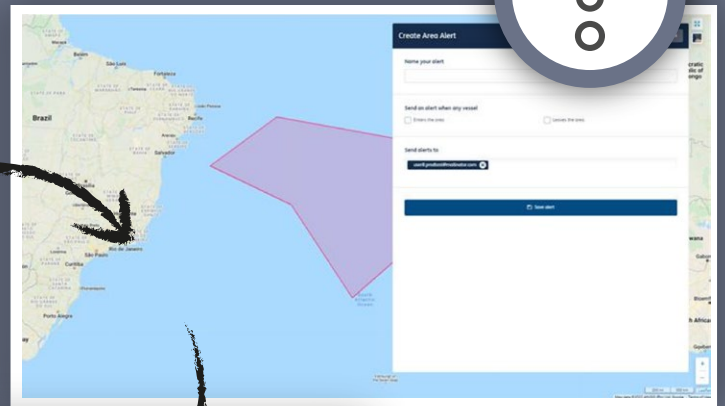
**Risk prediction & prevention**  
Proactively monitor vessels and fleets for signs of probable illicit activity & mitigate risk with access to the latest news and insight from Lloyd's List



## Arrival alerts

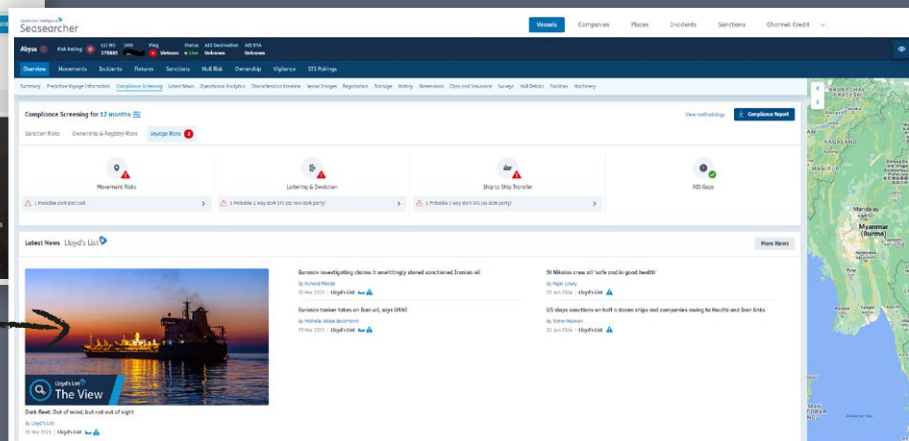
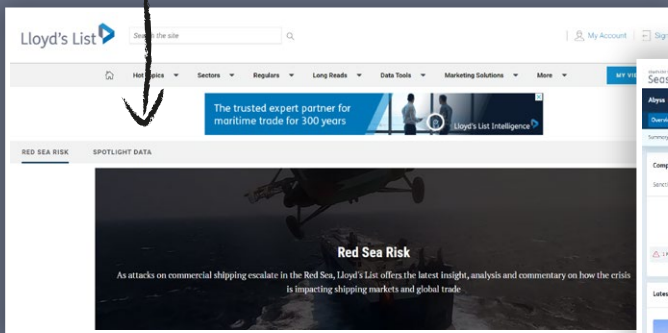


## Area alerts



## Vessel List Alerts

News and insight from Lloyd's List



Deeper investigation material for specific owners of interest also providing deep insight into the evolving themes of deceptive shipping practices

Lloyd's List Intelligence's Seasearcher trade compliance suite supports comprehensive 360<sup>o</sup> evaluation of the vessel to the trade

## Investigate Vessels and Events



1st line screening

### Platform

Seasearcher Risk & Compliance

### API

Vessel calls  
Vessel ETA  
Vessel compliance risk  
Vessel voyage events

### Ideal for

Trade Finance Operations

## + Risk Assess the Trade



Containerised goods screening

### Platform

Seasearcher Container Tracker  
Seasearcher Trade Risk

### API

Container tracking  
Dual use goods

### Ideal for

Trade Finance Operations  
Sanctions Compliance

## + Monitor & Stay Alert to Risk



Continuous monitoring and portfolio risk management

### Platform

Seasearcher Advanced Risk  
and Compliance  
Lloyd's List

### API

Vessel risk score  
Vessel compliance screening  
Vessel compliance report  
Dynamic vessel list API  
Vessel compliance risk report  
audit

### Ideal for

Sanctions Compliance  
Financial Crime

*\*Lloyd's List not available via  
API yet (coming soon)*

[Click here to request a consultation](#)